



Office of Enterprise Compliance Report - Matrix
March 2006

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Personnel Update	Ongoing	Executive Secretary position upgraded to Staff Services Analyst. Recruitment for the new position scheduled to begin in March 2006. Compliance Area Manager for Health interviews conducted in February of 2006.
2. Program Activities	Ongoing	CCO provided compliance related materials and information to Chief Compliance Officer from Korea Investment Corporation in conjunction with meeting with various CalPERS staff.
3. Reporting Significant Non-Compliance Issues	Ongoing	Ongoing.
4. Regulatory Review	June 2005	Review of proposed rules, regulations and applicability to CalPERS on a monthly basis. Review conducted and no significant items to report for February 2006. CCO and Legal staff conduct ongoing review of proposed regulations and combine with business line efforts.



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5. Compliance Area Manager - Investments	Ongoing	<p>Compliance Area Manager (CAM) is monitoring pre and post execution of trades daily. Weekly reporting on compliance summary to CCO. Monthly report of user access reviewed.</p> <p>CAM is monitoring daily and providing weekly report of compliance warnings and alerts. Monthly report of user access being reviewed. Procedures for compliance monitoring are being documented and discussed with investment staff.</p>
6. Compliance Area Manager – Health	Ongoing	CCO conduct research on Health related compliance tools.